



ORIGINAL ARTICLE

Differences in cerebral oxygenation during exercise in patients with idiopathic pulmonary fibrosis with and without exertional hypoxemia: does exercise intensity matter?



K. Dipla^{a,*}, A.K. Boutou^{b,1}, A. Markopoulou^b, S. Papadopoulos^a, S. Kritikou^a, G. Pitsiou^c, I. Stanopoulos^c, I. Kioumis^c, A. Zafeiridis^a

^a Exercise Physiology & Biochemistry Laboratory, Dept. of Sport Sciences at Serres, Aristotle University of Thessaloniki, Ippokratous 22, Ag. Ioannis, Serres 621 22, Greece

^b Department of Respiratory Medicine, G. Papanikolaou Hospital, Thessaloniki, Greece

^c Department of Respiratory Failure, Aristotle University of Thessaloniki, Greece

Received 20 January 2021; accepted 15 June 2021

Available online 15 July 2021

KEYWORDS

Idiopathic pulmonary fibrosis;
Cerebral oxygenation;
Exercise;
Exercise-desaturation;
Near-infrared-spectroscopy;
Dyspnea

Abstract

Introduction and Objectives: Patients with idiopathic pulmonary fibrosis (IPF) present respiratory derangements at rest and during exercise, accompanied by exercise intolerance. Some patients may develop profound exertional desaturation even without resting hypoxemia. Evidence suggests the involvement of reduced cerebral-oxygenation in exercise intolerance. We aimed to examine (i) differences in cerebral-oxygenation during exercise between IPF patients with and without isolated exertional desaturation, (ii) whether the impairments in cerebral-oxygenation are detected at similar exercise intensity, and (iii) correlations between cerebral-oxygenation indices, disease severity, and 6-min walk test (6MWT).

Materials and Methods: Patients with IPF ($n = 24$; 62.1 ± 9.3 years) without resting hypoxemia underwent cardiopulmonary exercise testing (CPET) with cerebral-oxygenation monitoring via near-infrared-spectroscopy (NIRS). Based on their pulse-oxymetry saturation (SpO_2) during CPET,

Abbreviations: CPET, Cardiopulmonary exercise testing; $DSpO_2$, Delta in saturation from pulse oxymetry (Nadir – Baseline value); EXE-DESAT, Patients with IPF and significant exertional desaturation; HHb, Deoxygenated hemoglobin; Hb_{Diff} , Difference in oxygenated – deoxygenated hemoglobin; IPF, Idiopathic pulmonary fibrosis; ILD, Interstitial lung disease; NIRS, Near-infrared-spectroscopy; Non-EXED, Patients with IPF and non-significant exertional desaturation; O_2HB , Oxygenated hemoglobin; PaO_2 , Arterial oxygen tension; $PETCO_2$, Partial pressure of end-tidal carbon dioxide; sPAP, Systolic pulmonary artery pressure; SpO_2 , Saturation from pulse oxymetry; tHB, Total hemoglobin; VE, Minute ventilation; $VE-VCO_2$, Minute ventilation–Carbon dioxide production relationship (ventilatory equivalent for CO_2); $VE-VO_2$, Minute ventilation–Oxygen uptake relationship; VO_{2peak} , Peak oxygen uptake; 6MWT, 6-minute walk test.

* Corresponding author.

E-mail address: kdipla@phed-sr.auth.gr (K. Dipla).

¹ KD and AKB contributed equally.

<https://doi.org/10.1016/j.pulmoe.2021.06.006>

2531-0437/© 2021 Sociedade Portuguesa de Pneumologia. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

patients were divided into the “exertional-desaturators” group ($\text{SpO}_{2\text{nadir}} \leq 89\%$ and $\geq 6\%$ drop in SpO_2) and the “non-exertional-desaturators” group ($\text{SpO}_{2\text{nadir}} \geq 90\%$ and $\leq 5\%$ drop).

Results: During CPET, the “exertional-desaturators” group exhibited lower oxygenated-hemoglobin (-0.67 ± 1.48 vs. $0.69 \pm 1.75 \mu\text{mol/l}$; $p < 0.05$) and higher deoxygenated-hemoglobin (1.67 ± 1.13 vs. $0.17 \pm 0.62 \mu\text{mol/l}$; $p < 0.001$) than the “non-exertional-desaturators” group. A different pattern ($p < 0.01$) in cerebral-oxygenation responses was observed in the two groups. In exertional-desaturators oxygenated-hemoglobin declined below baseline even at low/moderate-intensity exercise ($p < 0.05$), whereas, in non-exertional-desaturators cerebral-oxygenation declined ($p < 0.05$) at high-intensity exercise. Cerebral-NIRS indices correlated ($p < 0.05$) with CPET-duration, dyspnea, diffusion capacity, and 6MWT.

Conclusions: During incremental exercise, patients with IPF and exertional desaturation present a significant decline in cerebral-oxygenation even during low-intensity exercise. Our findings support the implementation of longer-duration rehabilitation programs in IPF so that lower intensity exercise can be applied at the initial stages. (NCT 03683082)

© 2021 Sociedade Portuguesa de Pneumologia. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Introduction

Idiopathic Pulmonary Fibrosis (IPF) is a chronic progressive disorder characterized by aberrant accumulation of fibrotic tissue in the lungs, associated with poor prognosis.¹ In concert with alterations in the mechanical properties of the lungs and abnormalities in the lung vasculature in IPF, impairments in ventilation/perfusion occur, and the lung's diffusing capacity is progressively reduced.^{2,3} The multiple derangements of the lung physiology in IPF, translate into significant reductions in exercise capacity and development of dyspnea.^{4–6} Some patients may develop profound exercise-induced desaturation, even when oxygen saturation is acceptable when at rest.^{2,6} Importantly, exertional desaturation and abnormal ventilatory responses during exercise are strong predictors of mortality in IPF.^{7–9} Pathophysiologic mechanisms of exertional desaturation include ventilatory-perfusion mismatching, lung diffusion limitations, low mixed venous oxygen concentration, pulmonary vasculopathy, and high tissue oxygen extraction during exercise.^{6,10–12} Exertional dyspnea, another cardinal feature of IPF,¹³ also appears multifactorial, as abnormal pulmonary mechanics, hypoxemia, impaired cardiocirculatory responses, and peripheral muscle dysfunction contribute to its establishment.^{9,14,15} Hypoxia and hyperventilation during exercise can reduce cerebral blood flow and also limit exercise tolerance,¹⁶ however, studies examining the role of cerebral-oxygenation in exercise intolerance in IPF are limited.

In healthy individuals, cerebral-oxygenation during exercise increases from low to moderate/high intensities (25–75% of peak oxygen uptake, $\text{VO}_{2\text{peak}}$) and decreases at peak/exhaustive exercise.¹⁷ Decrements in cerebral oxygenation can reduce cortical activation, contributing to the development of central fatigue, decreasing the muscles' force-generating capacity, and resulting in exercise termination.^{18,19} A blunted cerebral-oxygenation during exercise has been reported in various chronic diseases,^{20–22} and in patients with COPD it has been associated with exertional-dyspnea.²³ To the best of our knowledge, only two recent studies examined brain-oxygenation during exercise in patients with IPF/interstitial

lung disease (ILD). Specifically, Marillier et al.²⁴ showed greater cerebral-desaturation during exercise in patients with ILD vs healthy controls; authors reported that cerebral responses varied substantially across patients. Patients with ILD were then divided based on the presence of cerebral-deoxygenation; an association of cerebral-deoxygenation with lower peak oxygen uptake ($\text{VO}_{2\text{peak}}$) and hypoxemia was observed.²⁴ The second study,²⁵ showed that oxygen-supplementation during steady-state exercise (at 65% of $\text{VO}_{2\text{peak}}$) improved brain/skeletal muscle-oxygenation, and prolonged exercise duration in patients with IPF. Although similarities in exercise-induced hypoxemia are documented in patients with ILDs of various aetiologies, there are differences in the pattern and gas exchange severity during exercise between IPF and other ILDs, attributable to specific underlying pathophysiological mechanisms.¹¹ Whether patients with IPF and isolated exertional desaturation, present lower cerebral-oxygenation during incremental exercise compared to patients without exertional desaturation and whether greater gas exchange abnormalities during exercise in the former group translate into the development of cerebral-deoxygenation at lower exercise intensity (lower $\text{VO}_{2\text{peak}}$ percentage), have not been examined. This question is of importance for pulmonary rehabilitation professionals, in order to choose the appropriate exercise intensity for patients with IPF with and without exertional desaturation and avoid significant reductions in cerebral-oxygenation, possible negative effects on cognitive function/performance,²⁶ and premature exercise termination. Thus, the primary aims of this prospective, case-control study were to (i) examine whether patients with IPF and isolated exertional desaturation (as assessed by pulse oximetry, SpO_2) present lower cerebral-oxygenation during exercise vs those without exertional desaturation and (ii) identify whether decrements in cerebral-oxygenation occur at similar exercise intensity ($\%\text{VO}_{2\text{peak}}$) in both groups. Secondary aims were to (i) explore the correlation of cerebral-indices during cardiopulmonary exercise (CPET) with exercise duration, dyspnea, lung diffusion capacity, and 6-min walk test (6MWT) in patients with IPF without resting hypoxemia.

Materials and methods

Participants

Patients with IPF (diagnosed based on current ATS/ERS guidelines²) were recruited from the ILD outpatient clinic, Papanikolaou Hospital (9/2018-2/2020). **Inclusion criteria:** (i) Patients stable on IPF medication, without hospitalization due to respiratory failure/infection during the past three months, (ii) resting arterial oxygen tension (PaO_2) ≥ 60 mmHg and systolic pulmonary artery pressure (sPAP) < 25 mmHg. **Exclusion criteria:** (i) Patients with pulmonary hypertension or absolute contraindications for maximal CPET,²⁷ (ii) patients with $\text{SpO}_2 \leq 94$ while sitting quietly in room air. Participants were divided into two groups: a) patients with exertional desaturation (EXE-DESAT), during CPET (defined as $\text{SpO}_{2\text{nadir}} \leq 89\%$ and a $\geq 6\%$ drop in SpO_2 compared to resting levels), and b) patients without significant exertional desaturation (Non-EXED) during the CPET ($\text{SpO}_{2\text{nadir}} \geq 90\%$ and $\leq 5\%$ drop).^{7,28} Prior to the study, patients underwent a thorough clinical examination, spirometry/lung volume assessment, carbon dioxide diffusion capacity (DL_{CO}) measurement, and a 6MWT (performed according to recommended guidelines²⁹). This study was approved by the Scientific Review Board Committee of Papanikolaou Hospital (804/29.05.2018), registered with ClinicalTrials.gov (NCT 03683082), and conducted in accordance with the principles of the Declaration of Helsinki. This is a secondary analysis of a larger study evaluating the effects of oxygen supplementation in IPF.²⁵ All participants signed the informed consent form.

Experimental procedure and instrumentation

Patients underwent an incremental maximal/symptom-limited CPET on a cycle ergometer (Ergoselect, McKesson, USA; work-rate increments of 10 W/min, at 50 rpm), according to guidelines.²⁷ The protocol included a baseline/rest, a 2-min unloaded cycling, the incremental maximal test, and a 3-min recovery. A 12-lead electrocardiogram and SpO_2 (Nellcore N-180, California, USA) were continuously monitored. Respiratory gas exchange was recorded by a metabolic unit (Medgraphics, Ultima CPXTM, MGC Diagnostics). Cerebral oxygenation was monitored via Near-Infrared-Spectroscopy (fNIRS, Oxymon, Artinis Medical Systems Elst, The Netherlands), by measuring relative changes ($\mu\text{mol/l}$) from pre-exercise values in oxygenated (O_2Hb), deoxygenated (HHb), and total (tHb) hemoglobin.³⁰ The O_2Hb and hemoglobin difference (Hb_{diff}) are used as indices of tissue-oxygenation, the HHb of oxygen extraction, and the tHb reflects regional changes in tissue-blood volume/local vasodilation.³¹ The fNIRS-sensor was placed over the left prefrontal cortex.^{31,32} Leg fatigue and dyspnea were assessed using the Borg CR10 (2010) scale.³³

Based on previous results in cerebral- O_2Hb differences during exercise in hypoxemic-COPD vs non-hypoxemic-COPD,³¹ we calculated (GPower software 3.1) that a sample size of 18 patients (9/group) is needed to achieve a power of 0.90 ($\alpha = 0.05$, effect size=1.5). We contacted 24 patients, allowing for possible dropouts.

Statistical analyses

Variables are presented as mean \pm SD or median (interquartile range_{25–75%}), depending on normality of distribution. For assessing between-group differences, independent *t*-tests were used for normally distributed data or Mann-Whitney U test for ordinal data. NIRS data were recorded and analyzed offline (Oxysoft, Artinis Medical Systems). The average O_2Hb -, HHb-, tHb-, and Hb_{diff} -response during exercise per patient were calculated; differences between groups were compared using an independent *t*-test. NIRS data were also exported in 30s averages. The patient's cerebral responses at 0, 25, 50, 75, and 100% of $\text{VO}_{2\text{peak}}$ were determined. The effects of exercise intensity on cerebral-NIRS responses in the two groups were analyzed using two-way repeated-measures ANOVA (Group \times Time), followed by Tukey *Post-hoc* (Statistica 7.0, StatSoft, USA). Pearson(*r*) or Spearman(ρ) correlation was used, depending on continuous/ordinal data, to examine possible associations between variables of interest.

Results

Participant characteristics

Twenty-four patients with IPF without resting hypoxemia were recruited. Thirteen patients exhibiting a significant desaturation comprised the “EXE-DESAT” group, and eleven patients comprised the “Non-EXED” group. One patient terminated the test early due to a hypertensive response to exercise and was not included in the analysis. There were no significant differences between groups in anthropometric characteristics and spirometry lung volumes (Table 1); however, the EXE-DESAT group had lower ($p < 0.05$) diffusion capacity (%predicted DL_{CO}).

Cardiopulmonary exercise testing

By study design, the EXE-DESAT group exhibited greater desaturation vs Non-EXED (DSpO_2 : $12.2 \pm 3.2\%$ vs $3.6 \pm 1.8\%$; $p < 0.001$) during CPET (Table 1). No statistically significant differences between groups were observed in $\text{VO}_{2\text{peak}}$, peak minute ventilation (VE_{peak}), and ventilatory equivalent for CO_2 ($\text{VE}/\text{VCO}_{2\text{peak}}$), however, EXE-DESAT presented higher VE/VCO_2 at ventilatory threshold ($p < 0.05$). At exercise termination, groups reported no significant differences in leg fatigue ($p = 0.56$). The EXE-DESAT reported marginally higher dyspnea vs Non-EXED ($p = 0.058$).

Brain oxygenation

Accumulative data (all patients per group) of continuous NIRS-recordings during exercise are presented in Fig. 1. The EXE-DESAT exhibited a decline in O_2Hb and a progressive rise in HHb, from the initial minutes of the CPET-exercise. The average cerebral NIRS-oxygenation response during exercise in each patient is presented in Fig. 2. The EXE-DESAT group exhibited significantly lower ($p < 0.05$) O_2Hb and Hb_{diff} than Non-EXED, and higher ($p < 0.001$) HHb, with no differences in tHb ($p = 0.86$).

Table 1 Participant Characteristics and cardiopulmonary exercise testing (CPET) results during the incremental (ramp) protocol to exhaustion in the group with significant exertional desaturation and non-exertional desaturation.

	Patients with exertional desaturation (n = 13) Mean ± SD	Patients with Non- exertional desaturation (n = 10) Mean ± SD
Anthropometrics		
Age (years)	62.9 ± 10.0	60.8 ± 8.6
Body mass index (kg/m ²)	30.1 ± 4.5	28.3 ± 3.2
Resting pulmonary function variables		
FEV1 (%predicted)	80.3 ± 21.5	83.6 ± 18.5
FVC (%predicted)	79.9 ± 21.0	78.3 ± 17.8
TLC (%predicted)	59.2 ± 10.1	71.1 ± 20.5
DL _{CO} (%predicted)	41.7 ± 9.5	56.6 ± 16.0*
Medical treatment with antifibrosis-targeted agents		
Nintedanib	7	6
Pirfenidone	6	4
6-min walk test		
Distance (m)	451 ± 102	542 ± 56
SpO _{2start} (%)	94.5 ± 1.7	96.3 ± 1.7
SpO _{2nadir} (%)	82.6 ± 3.9	92.3 ± 2.8
Co-morbidities		
Cardiac disease (n=)	5	4
CPET Results		
Workload Peak (Watt)	92.5 ± 23.1	92.1 ± 31.3
Workload Peak (%Predicted)	68.3 ± 18.6	76.1 ± 20.9
SpO ₂ Rest (%)	95.8 ± 1.2	96.4 ± 1.6
SpO ₂ Nadir (%)	83.5 ± 3.4	92.8 ± 2.4*
Heart rate Rest (bpm)	85.1 ± 13.3	85.6 ± 6.5
Heart rate Peak (bpm)	135.4 ± 12.7	137.3 ± 13.6
Respiratory Rate Rest (br/min)	24.3 ± 6.6	19.7 ± 6.6
Respiratory Rate Peak (br/min)	46.5 ± 9.6	42.9 ± 9.6
VE Peak (l/min)	73.7 ± 12.9	68.4 ± 15.0
VE Peak (%Predicted)	63.2 ± 15.8	62.5 ± 25.9
VO _{2peak} (ml/kg/min)	18.9 ± 2.8	18.3 ± 2.9
VO _{2peak} (%Predicted)	82.8 ± 19.0	82.3 ± 21.3
VO ₂ at ventilatory threshold (%Predicted)	56.6 ± 13.1	52.9 ± 17.2
Respiratory Exchange Ratio Peak	1.12 ± 0.13	1.16 ± 0.12
VE-VCO ₂ at ventilatory threshold	40.7 ± 5.7	35.8 ± 4.5*
VE-VCO ₂ Peak	41.8 ± 7.9	38.1 ± 5.7
VE-VO ₂ Peak	46.2 ± 8.2	43.0 ± 9.6
VO _{2peak} /Heart rate peak (%predicted)	95.4 ± 18.9	98.1 ± 26.6
PETCO ₂ Rest (mmHg)	33.5 ± 4.4	36.3 ± 3.9
PETCO ₂ Peak (mmHg)	33.5 ± 6.2	35.8 ± 6.3
Leg fatigue at exercise termination	7.3(0.5)	6.8(1.0)
Dyspnea at exercise termination	3.5(2.5)	2.3(2.0)

Data are presented as means±SD or median (interquartile range 25–75%; I_Q_{25–75}); FEV1: forced expiratory volume in 1 s; FVC: forced expiratory volume; TLC: total lung capacity; DL_{CO}: lung diffusion capacity for carbon monoxide; SpO₂: Saturation from pulse oximetry; VO₂: oxygen consumption; VE: minute ventilation; VE-VCO₂: minute ventilation–carbon dioxide production relationship; VE-VO₂: minute ventilation–oxygen uptake relationship; PETCO₂: partial pressure of end-tidal carbon dioxide;

**p* < 0.05 sign. vs the EXE-DESAT group.

Differences between groups in cerebral-oxygenation attained at various exercise intensities (% of VO_{2peak}) were examined (Fig. 3). Two-way ANOVAs revealed significant group differences in O₂Hb, HHb, and Hb_{diff}, and a different pattern (*p* < 0.05) of increase in HHb and Hb_{diff} in the two groups. Specifically, EXE-DESAT presented a trend towards an HHb increase at 25% of their VO_{2peak} (*p* = 0.06); then, HHb

progressively increased at 50%, 75%, 100% of VO_{2peak} (vs the beginning of loaded exercise, 0% of VO_{2peak}; *p* < 0.001). In Non-EXED, significant increases in HHb were observed only at 75% and 100% (*p* < 0.01). In EXE-DESAT, Hb_{diff} significantly decreased at 50% VO_{2peak} (*p* < 0.001) and continued to decrease at 75% and 100%; whereas in Non-EXED, Hb_{diff} significantly decreased only at 100% VO_{2peak} (*p* < 0.01).

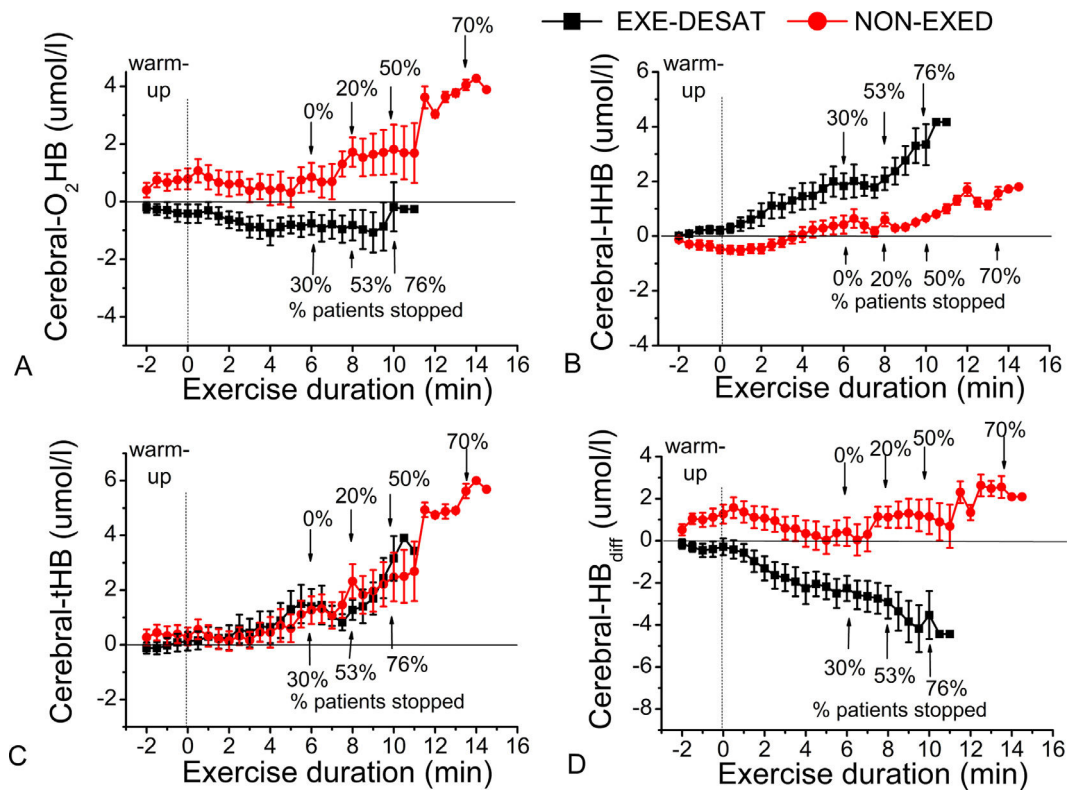


Fig. 1 Continuous near-infrared-spectroscopy recordings in cerebral prefrontal oxygenation. Accumulated data (mean \pm sd every 30 s) per group in (A) oxygenated hemoglobin (O_2HB), (B) deoxygenated hemoglobin (HHb), (C) total hemoglobin (tHB), and (D) hemoglobin difference (HB_{diff}) during the maximal test in the exertional desaturation (EXE-DESAT) and non-exertional desaturation (NON-EXED) groups. The arrows depict the percentage of patients that terminated the exercise at this time (at the 6th, 8th, 10th minute). CPET: Cardiopulmonary Exercise Testing.

Correlations

Cerebral-NIRS parameters during CPET were significantly correlated with lung diffusion capacity, 6MWT, and dyspnea (Appendix A-Fig.15-45). In detail, the average cerebral- O_2HB response was correlated (Fig. 15) with dyspnea ($\rho = -0.71$, $p < 0.05$), CPET-duration ($r = 0.42$, $p < 0.05$), %predicted DL_{CO} ($r = 0.53$, $p < 0.01$), and 6MWT-distance ($r = 0.58$, $p < 0.01$). The average-HHB (Fig. 25) was correlated with dyspnea ($\rho = 0.57$, $p < 0.05$), %predicted DL_{CO} ($r = -0.43$, $p < 0.05$), and 6MWT-distance ($r = -0.50$, $p < 0.05$). The average- HB_{diff} (Fig. 35) was correlated with dyspnea ($\rho = -0.66$, $p < 0.05$), %predicted DL_{CO} ($r = 0.57$, $p < 0.01$), 6MWT-distance ($r = 0.60$, $p < 0.01$), and 6MWT-desaturation ($r = -0.65$, $p < 0.001$). Furthermore, the O_2HB values obtained at 25% $\text{VO}_{2\text{peak}}$, were significantly correlated (Fig. 45) with CPET-duration ($r = 0.49$, $p < 0.05$) and CPET-dyspnea ($\rho = -0.75$, $p < 0.05$).

Discussion

Our primary outcomes were that patients with IPF and isolated exertional desaturation presented significantly lower cerebral-oxygenation and a differential pattern in this response during CPET-exercise compared with patients without exertional desaturation. That is, patients with exertional desaturation exhibited an inability to maintain

cerebral-oxygenation from low exercise intensities (below 50% $\text{VO}_{2\text{peak}}$). In contrast, patients without exertional desaturation exhibited significant deoxygenation at high exercise intensity (above 75% of $\text{VO}_{2\text{peak}}$). Secondary outcomes were that cerebral-oxygenation/deoxygenation indices were significantly correlated with CPET-exercise duration and dyspnea, diffusion capacity, and 6MWT.

In healthy adults, the increase in O_2HB and HB_{diff} , coupled with a decrease in HHb during exercise has been suggested to reflect an enhanced cortical neuronal activity.^{17–19} In contrast, O_2HB reductions and significant HHb elevations have been described in cerebral ischemia.³⁴ Thus, in our study, the early decrease in cerebral- O_2HB and HB_{diff} below baseline levels and the large increases in HHb from low exercise intensity reflect significant impairments in cerebral-oxygenation, as also evidenced in patients with COPD by Higashimoto et al.³¹ In contrast, in patients without exertional desaturation, cerebral- HB_{diff} was significantly reduced only at high exercise intensity (around 75% $\text{VO}_{2\text{peak}}$), above the critical power,⁸ mimicking the pattern described in healthy individuals.^{17–19,35,36} However, it should be noticed that despite the higher overall cerebral- O_2HB and HB_{diff} responses in the non-desaturators group, the increase in O_2HB during exercise was still blunted, as indicated by the slightly higher than baseline values. This finding suggests limitations in cerebral-oxygenation during exercise, even without significant exertional desaturation in patients with IPF. The similar tHB-responses between groups suggest

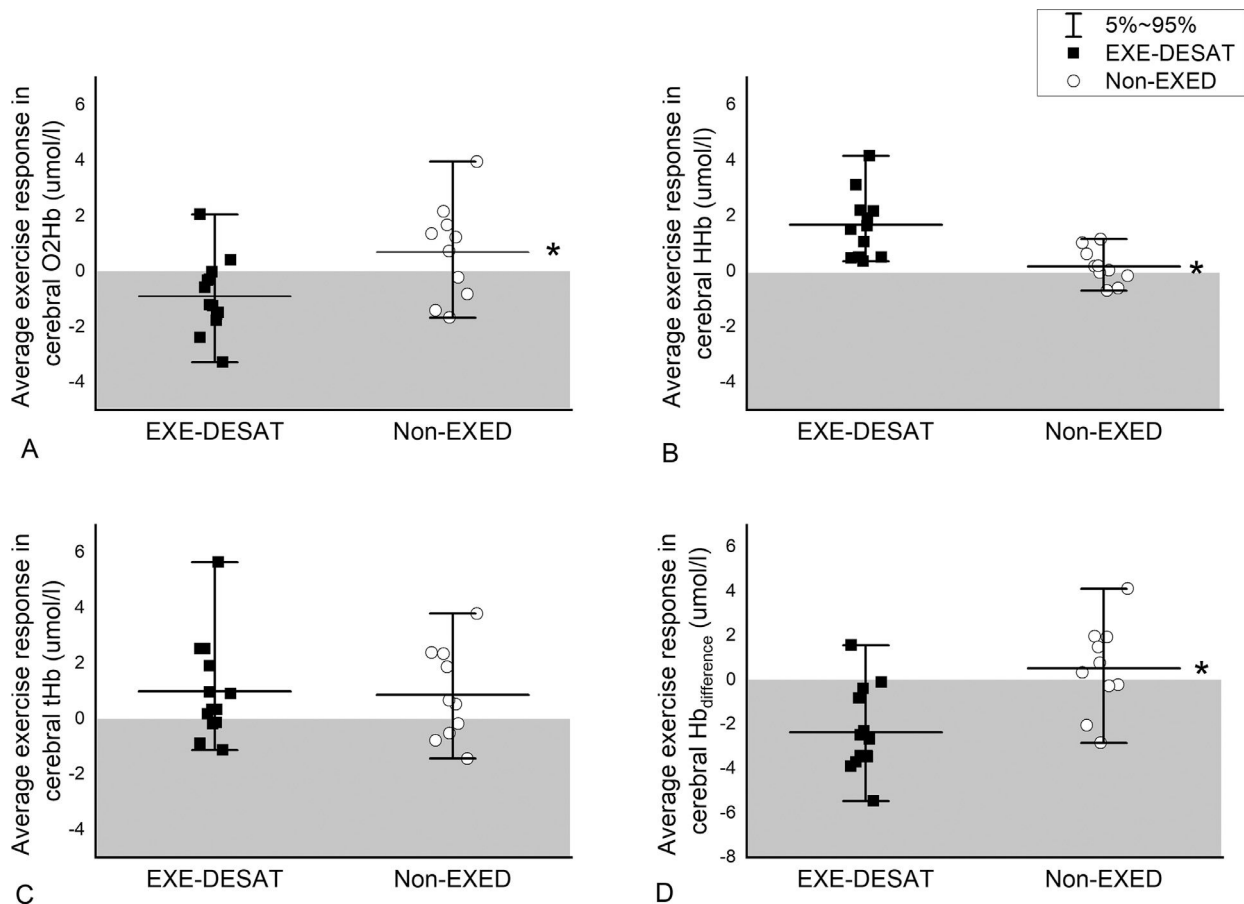


Fig. 2 Average cerebral-oxygenation responses during the maximal test. Scatter interval plots depicting the average response in (A) oxygenated hemoglobin (O₂Hb), (B) deoxygenated hemoglobin (HHb), (C) total hemoglobin (tHb), and (D) hemoglobin difference (Hb_{difference}) in patients with IPF and exertional desaturation (EXE-DESAT) and non-exertional desaturation (NON-EXED). The shaded area presents values below baseline. * $p < 0.01$ sign. EXE-DESAT vs NON-EXED group.

similar vasodilation/blood volume changes in the cerebral-microvasculature during exercise.³¹

An interesting finding in this study is the significant impact of cerebral-oxygenation on exercise duration and dyspnea. Patients that exhibited reduced cerebral-O₂Hb from low exercise intensity (25% of VO_{2peak}), had shorter exercise duration and presented greater dyspnea. Dyspnea, a subjective experience of breathing discomfort,¹³ was marginally higher at exercise termination in EXE-DESAT compared with the Non-EXED group, even though VO_{2peak} did not differ between groups. In hypoxemic patients with COPD, exertional dyspnea was correlated with impaired cerebral-oxygenation and HHb-elevations during exercise, but not SpO₂ during CPET.^{23,31} In our study, cerebral-NIRS variables were more strongly correlated with dyspnea than with the magnitude of desaturation during CPET. Although cerebral-deoxygenation was not significantly correlated with leg fatigue ratings, this does not suggest that skeletal muscles are not involved in exercise intolerance in patients with IPF. In fact, our patients rated higher their leg fatigue than dyspnea, which is expected during cycling, as previously discussed,⁸ highlighting the importance of skeletal muscles in exercise limitations in these patients.

Importantly, the significant correlation between brain-oxygenation indices and DL_{CO}, suggests an association between

cerebral-deoxygenation and diffusion limitations. Lung diffusion reduction is a known predictor of exercise-induced hypoxemia and disease outcomes/severity.^{4,37} Both groups presented ventilatory inefficiency during exercise (as evidenced by high peak-VE/VCO₂ and VE/VCO₂ at ventilatory-threshold), however, the higher VE/VCO₂ at ventilatory threshold in the exertional-desaturators group, is suggestive of greater ventilation/perfusion mismatch in this group.^{9,11} Groups did not exhibit signs of significant pulmonary hypertension during CPET, as peak oxygen-pulse (VO₂/HR) was >95% of predicted and VE/VCO₂ at ventilatory threshold was <45.^{11,38}

This study has both strengths and limitations. Two strict criteria for defining exertional desaturation (SpO₂<88% and ≥6% drop in SpO₂) were used, as the cycling test is less sensitive to diagnose desaturation than the 6MWT.⁸ This allowed for a substantial difference in desaturation between groups. Groups with similar resting-SpO₂ were used because an exertional nadir of an absolute value of SpO₂≤89% would have resulted in a different magnitude of SpO₂ decline in patients with various resting saturation levels.³⁹ Cerebral-oxygenation was assessed with NIRS, a noninvasive, reliable technique for measuring brain function/oxygenation, during exercise.^{20,30} Cerebral-NIRS measurements were obtained from the left pre-frontal cortex, as activation in this area has been associated with exertional dyspnea in COPD.^{23,40}

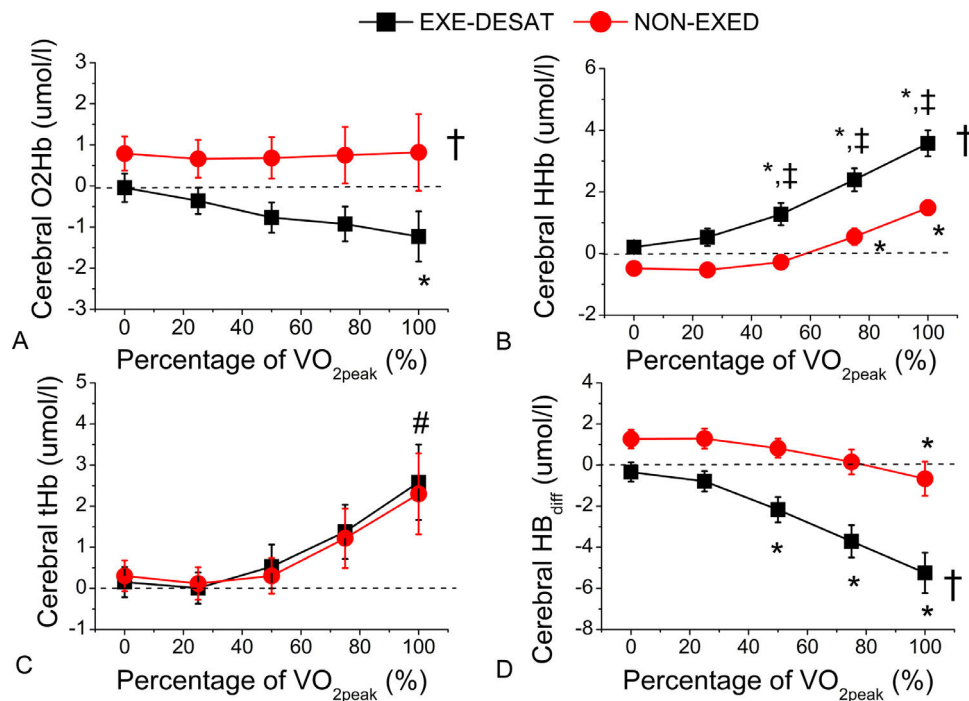


Fig. 3 Cerebral oxygenation at different exercise intensities. (A) Oxygenated hemoglobin (O_2Hb), (B) deoxygenated hemoglobin (HHb), (C) total hemoglobin (tHb), and (D) hemoglobin difference ($Hb_{difference}$) during the maximal test in patients with exertional desaturation (EXE-DESAT) and non-exertional desaturation (NON-EXED). † $p < 0.001$ sign. EXE-DESAT vs NON-EXED group; * $p < 0.05$ sign. vs the beginning of the test (0%) within the same group; ‡ $p < 0.05$ sign. vs respective intensity in EXE-DESAT; # $p < 0.05$ sign. vs 25, 50, and 75% of VO_{2peak} within both groups; VO_{2peak} : peak oxygen uptake.

Other brain areas (premotor/motor regions) important in dyspnea perception,¹⁷ were not examined. However, studies using multichannel-NIRS in COPD, showed that the pattern of cortical-deoxygenation during exercise was similar in prefrontal, premotor, and motor regions.¹⁷ Finally, all participants were on anti-fibrotic medication; thus, results cannot be extrapolated to patients not using IPF-medication. Although ATS/ERS Guidelines on IPF treatment listed antifibrotic treatment as "conditional", most IPF-expert pulmonologists agree that antifibrotic treatment should be started as soon as the disease is diagnosed.^{2,41}

Clinical application

Our data highlight the importance of selecting the appropriate exercise intensity in patients with IPF and exertional desaturation to maintain adequate brain oxygenation during exercise. Modifications in exercise intensity may be considered in IPF patients with exertional desaturation and low DL_{CO} (<50%), as brain ischemia might develop when exercising even at moderate intensity i.e. at 50–60% of VO_{2peak} which is often used in COPD patients. Alternatively, if higher exercise intensity is desired, oxygen supplementation²⁵ or intermittent exercise,⁴² may be considered. However, the impact of different exercise modalities on cerebral-oxygenation requires further studies. Longer duration of exercise rehabilitation programs will allow slower progression of training. Beyond planned exercise, mild daily life physical activity might also cause cerebral hypoxia in patients with IPF. Brain oxygenation measurements could assist in identifying patients with significant exertional cerebral-deoxygenation.

Conclusions

Patients with IPF and isolated exertional desaturation exhibit significantly lower cerebral-oxygenation during incremental exercise than patients without exertional hypoxemia. Importantly, in patients with exertional desaturation inadequate cerebral-oxygenation develops at low/moderate exercise intensity (below 50% of VO_{2peak}), whereas in patients without exertional desaturation significant impairments in cerebral-oxygenation develop at high-intensity exercise. The significant associations of impaired cerebral-oxygenation with shorter exercise duration, higher dyspnea, and lower DL_{CO} , suggest a more severely compromised cerebral-oxygenation during exercise in patients with greater diffusion limitations. Our findings support the implementation of longer-duration rehabilitation programs so that lower intensity can be applied at the initial stages in these patients with IPF and highlight the need for personalized, IPF-specific exercise programs.

Authorship

Dipla K, Boutou AK, Zafeiridis A, and Markopoulou A conceived this study and supervised its implementation. Pitsiou G, Stanopoulos I, Kioumis I collaborated in the inception of the study and interpretation of data. Dipla K, Boutou AK, Zafeiridis A, Papadopoulos S, and Kritikou S collected the data and collaborated in the analysis. All the authors contributed to the interpretation of the results and revisions and approved the manuscript.

Conflicts of interest

The authors report no conflict of interest

Acknowledgements

The authors would like to thank Dr. A. Chloros and Dr. G. Kounti for their scientific advice, V. Pegklidou for her assistance with the 6-min walk tests, and P. Malli for English Proofreading.

Funding

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.pulmoe.2021.06.006](https://doi.org/10.1016/j.pulmoe.2021.06.006).

References

1. Sgalla G, Iovene B, Calvello M, Ori M, Varone F, Richeldi L. Idiopathic pulmonary fibrosis: pathogenesis and management. *Respir Res.* 2018;19:32. <https://doi.org/10.1186/s12931-018-0730-2>.
2. Raghu G, Remy-Jardin M, Myers JL, Richeldi L, Ryerson CJ, Lederer DJ, et al. Diagnosis of Idiopathic pulmonary fibrosis. An official ATS/ERS/JRS/ALAT clinical practice guideline. *Am J Respir Crit Care Med.* 2018;198:e44–68. <https://doi.org/10.1164/rccm.201807-1255ST>.
3. Wuyts WA, Wijsenbeek M, Bondue B, Bresser P, Bouros D, Robalo Cordeiro C, et al. Idiopathic pulmonary fibrosis: best practice in monitoring and managing a relentless fibrotic disease. *Respiration.* 2020;99:73–82. <https://doi.org/10.1159/000504763>.
4. Du Plessis JP, Fernandes S, Jamal R, Camp P, Johansson K, Schaeffer M, et al. Exertional hypoxemia is more severe in fibrotic interstitial lung disease than in COPD. *Respirology.* 2018;23:392–8. <https://doi.org/10.1111/resp.13226>.
5. Khor YH, Renzoni EA, Visca D, McDonald CF, Goh NSL. Oxygen therapy in COPD and interstitial lung disease: navigating the knowns and unknowns. *ERJ Open Res.* 2019;5. <https://doi.org/10.1183/23120541.00118-2019>.
6. Troy LK, Young IH, Lau EMT, Corte TJ. Exercise pathophysiology and the role of oxygen therapy in idiopathic interstitial pneumonia. *Respirology.* 2016;21:1005–14. <https://doi.org/10.1111/resp.12650>.
7. Lama VN, Flaherty KR, Toews GB, Colby TV, Travis WD, Long Q, et al. Prognostic value of desaturation during a 6 minute walk test in idiopathic interstitial pneumonia. *Am J Respir Crit Care Med.* 2003;168:1084–90. <https://doi.org/10.1164/rccm.200302-2190C>.
8. Puente-Maestu L. Physiological rationale of commonly used clinical exercise tests. *Pulmonology.* 2020;26:159–65. <https://doi.org/10.1016/j.pulmoe.2019.10.004>.
9. Vainshelboim B, Oliveira J, Fox BD, Kramer MR. The prognostic role of ventilatory inefficiency and exercise capacity in idiopathic pulmonary fibrosis. *Respir Care.* 2016;61:1100–9. <https://doi.org/10.4187/respcare.04471>.
10. Holland AE. Exercise limitation in interstitial lung disease - mechanisms, significance and therapeutic options. *Chron Respir Dis.* 2010;7:101–11. <https://doi.org/10.1177/1479972309354689>.
11. Bonini M, Fiorenzano G. Exertional dyspnoea in interstitial lung diseases: the clinical utility of cardiopulmonary exercise testing. *Eur Respir Rev.* 2017;26:1–11. <https://doi.org/10.1183/16000617.0099-2016>.
12. Cournoyer J, Ramos CF, Sturgill B, Tang F, DeLuca N, Mirsaeidi M, Jackson RM. Effects of 100% oxygen during exercise in patients with interstitial lung disease. *Respir Physiol Neurobiol.* 2020;274. <https://doi.org/10.1016/j.resp.2019.103367>.
13. Ambrosino N, Fracchia C. Strategies to relieve dyspnoea in patients with advanced chronic respiratory diseases. A narrative review. *Pulmonology.* 2019;25:289–98. <https://doi.org/10.1016/j.pulmoe.2019.04.002>.
14. Agustí AG, Roca J, Gea J, Wagner PD, Xaubet A, Rodriguez-Roisin R. Mechanisms of gas-exchange impairment in idiopathic pulmonary fibrosis. *Am Rev Respir Dis.* 1991;143:219–25. <https://doi.org/10.1164/ajrccm.143.2.219>.
15. Faisal A, Alghamdi BJ, Ciavaglia CE, Ibehairy AF, Webb KA, Ora J, et al. Common mechanisms of dyspnea in chronic interstitial and obstructive lung disorders. *Am J Respir Crit Care Med.* 2016;193:299–309. <https://doi.org/10.1164/rccm.201504-0841OC>.
16. Tosh W, Patteril M. Cerebral oximetry. *BJA Educ.* 2016;16:417–21. <https://doi.org/10.1093/bjaed/mkw024>.
17. Subudhi AW, Dimmen AC, Roach RC. Effects of acute hypoxia on cerebral and muscle oxygenation during incremental exercise. *J Appl Physiol.* 2007;103:177–83. <https://doi.org/10.1152/japplphysiol.01460.2006>.
18. Rasmussen P, Nielsen J, Overgaard M, Krogh-Madsen R, Gjedde A, Secher NH, Petersen NC. Reduced muscle activation during exercise related to brain oxygenation and metabolism in humans. *J Physiol.* 2010;588:1985–95. <https://doi.org/10.1113/jphysiol.2009.186767>.
19. Secher NH, Seifert T, Van Lieshout JJ. Cerebral blood flow and metabolism during exercise: Implications for fatigue. *J Appl Physiol.* 2008;104:306–14. <https://doi.org/10.1152/japplphysiol.00853.2007>.
20. Oliveira MF, Rodrigues MK, Treptow E, Cunha TM, Ferreira EM V, Neder JA. Effects of oxygen supplementation on cerebral oxygenation during exercise in chronic obstructive pulmonary disease patients not entitled to long-term oxygen therapy. *Clin Physiol Funct Imaging.* 2012;32:52–8. <https://doi.org/10.1111/j.1475-097X.2011.01054.x>.
21. Kintiraki E, Dipla K, Triantafyllou A, Koletsos N, Grigoriadou I, Poulakos P, et al. Blunted cerebral oxygenation during exercise in women with gestational diabetes mellitus: associations with macrovascular function and cardiovascular risk factors. *Metabolism.* 2018;83:25–30. <https://doi.org/10.1016/j.metabol.2018.01.009>.
22. Oliveira MF, Alencar MC, Arbex F, Souza A, Sperandio P, Medina L, et al. Effects of heart failure on cerebral blood flow in COPD: rest and exercise. *Respir Physiol Neurobiol.* 2016;221:41–8. <https://doi.org/10.1016/j.resp.2015.10.005>.
23. Higashimoto Y, Honda N, Yamagata T, Matsuoka T, Maeda K, Satoh R, et al. Activation of the prefrontal cortex is associated with exertional dyspnea in chronic obstructive pulmonary disease. *Respiration.* 2011;82:492–500. <https://doi.org/10.1159/000324571>.
24. Marillier M, Bernard A-C, Verges S, Moran-Mendoza O, O'Donnell DE, Neder JA. Influence of exertional hypoxemia on cerebral oxygenation in fibrotic interstitial lung disease. *Respir Physiol Neurobiol.* 2021;285:103601. <https://doi.org/10.1016/j.resp.2020.103601>.
25. Dipla K, Boutou A, Markopoulou A, Pitsiou G, Papadopoulos S, Chatzikosti A, et al. Exertional desaturation in idiopathic pulmonary fibrosis: the role of oxygen supplementation in

- modifying cerebral-skeletal muscle oxygenation and systemic hemodynamics. *Respiration*. 2021;30:1–13. <https://doi.org/10.1159/000514320>.
26. Lefferts WK, Babcock MC, Tiss MJ, Ives SJ, White CN, Brutsaert TD, Heffernan KS. Effect of hypoxia on cerebrovascular and cognitive function during moderate intensity exercise. *Physiol Behav*. 2016;165:108–18. <https://doi.org/10.1016/j.physbeh.2016.07.003>.
 27. American Thoracic Society; American College of Chest Physicians. ATS/ACCP Statement on cardiopulmonary exercise testing. *Am J Respir Crit Care Med*. 2003;167:211–77. <https://doi.org/10.1164/rccm.167.2.211>.
 28. Jenkins S, Čečins N. Six-minute walk test: observed adverse events and oxygen desaturation in a large cohort of patients with chronic lung disease. *Intern Med J*. 2011;41:416–22. <https://doi.org/10.1111/j.1445-5994.2010.02169.x>.
 29. Holland AE, Spruit MA, Troosters T, Puhan MA, Pepin V, Saey D, et al. An official European Respiratory Society/American Thoracic Society technical standard: field walking tests in chronic respiratory disease. *Eur Respir J*. 2014;44:1428–46. <https://doi.org/10.1183/09031936.00150314>.
 30. Huppert T, Diamond S, Franceschini M, Boas D. HomER: a review of time-series analysis methods for near-infrared spectroscopy of the brain. *Appl Opt*. 2009;48:D280–98. <https://doi.org/10.1038/jid.2014.371>.
 31. Higashimoto Y, Honda N, Yamagata T, Sano A, Nishiyama O, Sano H, et al. Exertional dyspnoea and cortical oxygenation in patients with COPD. *Eur Respir J*. 2015;46:1615–24. <https://doi.org/10.1183/13993003.00541-2015>.
 32. Vogiatzis I, Louvaris Z, Habazettl H, Andrianopoulos V, Wagner H, Roussos C, et al. Cerebral cortex oxygen delivery and exercise limitation in patients with COPD. *Eur Respir J*. 2013;41:295–301. <https://doi.org/10.1183/09031936.00016312>.
 33. Borg G, Borg E. *The Borg CR Scales® Folder*. Åkersberga, Sweden; 2010.
 34. Chen S, Sakatani K, Lichty W, Ning P, Zhao S, Zuo H. Auditory-evoked cerebral oxygenation changes in hypoxic-ischemic encephalopathy of newborn infants monitored by near infrared spectroscopy. *Early Hum Dev*. 2002;67:113–21. [https://doi.org/10.1016/s0378-3782\(02\)00004-x](https://doi.org/10.1016/s0378-3782(02)00004-x).
 35. Rooks CR, Thom NJ, McCully KK, Dishman RK. Effects of incremental exercise on cerebral oxygenation measured by near-infrared spectroscopy: a systematic review. *Prog Neurobiol*. 2010;92:134–50. <https://doi.org/10.1016/j.pneurobio.2010.06.002>.
 36. Mekari S, Fraser S, Bosquet L, Bonn ery C, Labelle V, Pouliot P, et al. The relationship between exercise intensity, cerebral oxygenation and cognitive performance in young adults. *Eur J Appl Physiol*. 2015;115:2189–97. <https://doi.org/10.1007/s00421-015-3199-4>.
 37. Hyltdgaard C, M oller J, Bendstrup E. Changes in management of idiopathic pulmonary fibrosis: impact on disease severity and mortality. *Eur Clin Respir J*. 2020;7:1807682. <https://doi.org/10.1080/20018525.2020.1807682>.
 38. van der Plas MN, van Kan C, Blumenthal J, Jansen HM, Wells AU, Bresser P. Pulmonary vascular limitation to exercise and survival in idiopathic pulmonary fibrosis. *Respirology*. 2014;19:269–75. <https://doi.org/10.1111/resp.12206>.
 39. Vainshelboim B, Kramer MR, Izhakian S, Lima RM, Oliveira J. Physical activity and exertional desaturation are associated with mortality in idiopathic pulmonary fibrosis. *J Clin Med*. 2016;5. <https://doi.org/10.3390/jcm5080073>.
 40. Rasmussen P, Dawson EA, Nybo L, van Lieshout JJ, Secher NH, Gjedde A. Capillary-oxygenation-level-dependent near-infrared spectrometry in frontal lobe of humans. *J Cereb Blood Flow Metab Off J Int Soc Cereb Blood Flow Metab*. 2007;27:1082–93. <https://doi.org/10.1038/sj.jcbfm.9600416>.
 41. Robalo-Cordeiro C, Morais A. Translating idiopathic pulmonary fibrosis guidelines into clinical practice. *Pulmonology*. 2021;27:7–13. <https://doi.org/10.1016/j.pulmoe.2020.05.017>.
 42. Armstrong M, Vogiatzis I. Personalized exercise training in chronic lung diseases. *Respirology*. 2019;24:854–62. <https://doi.org/10.1111/resp.13639>.